



INFORMATION DISCLOSURE STATEMENT PTO-1449	Atty. Docket No.: 000104	Serial No.: 09/486,341
	Applicants: Hiroshi YAMAZAKI et al.	
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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
____	AA					
____	AB					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)	
<u>FB</u>	AC	WO 94/20912	9/15/94	PCT	yes
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OTHER DOCUMENTS

<u>FB</u>	AE	"Derivative Software Simplifies Swaps" - <u>Insurance Account</u> , Vol. 4, No.15 (Apr. 18, 1994) pp. 4.
____	AF	S. Schwartzman - "One Standard for One-Off Deals - Sanwa Bank Ltd. Uses Custom Software for Security Trading" - <u>Wall Street & Technology</u> , Vol. 11, No. 1 (July 1993) pp. 10.
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____	AH	Translated by S. Hon'ida - "Stratergy" - <u>Tokyo: Softbank Corp.</u> (Oct. 16, 1995).
____	AI	Zhang et al. - "Financial Software Design Pattern" - <u>Journal of Object-Oriented Programming</u> , Vol. 8, No. 9 (Feb. 96), pp. 6-12.
____	AJ	M. Benaroch - "Toward the Notion of a Knowledge Repository for Financial Risk Management" - <u>IEEE Transactions on Knowledge and Data Engineering</u> , Vol. 9, No. 1 (Jan-Feb. 1997) pp. 161-167.
Examiner <u>[Signature]</u> Date Considered <u>1/29/03</u>		